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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. <b>112300-1411</b>	
In Re Application Of: <b>Anthony J. Baerlocher</b>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/649,091	August 27, 2003	Unknown	29159	3711	9259
Title: <b>GAMING DEVICE HAVING A MULTIPLE SELECTABLE INDICATOR GAME</b>					
<div style="display: flex; justify-content: space-between; align-items: center;"><div style="border: 1px solid black; border-radius: 50%; padding: 10px; text-align: center; width: 150px;"><b>COPIED</b> JUN 17 2004 PATENT &amp; TRADEMARK OFFICE</div><div style="text-align: center;"><p>Address to:</p><p><b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b></p><p><b>37 CFR 1.97(b)</b></p><p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p><p style="text-align: center;"><b>37 CFR 1.97(c)</b></p><p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p><p style="padding-left: 40px;"><input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p><p style="text-align: center;"><b>OR</b></p><p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p></div></div>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-1411

In Re Application: **Anthony J. Baerlocher**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/649,091	August 27, 2003	Unknown		3711	9259

**GAMING DEVICE HAVING A MULTIPLE SELECTABLE INDICATOR GAME**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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(Date)

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Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

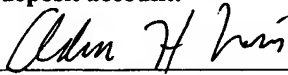
I certify that this document and fee is being deposited on **June 14, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Renee Street

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

  
Signature

Dated: **June 14, 2004**

Adam H. Masia, Reg. No. 35,602  
BELL, BOYD & LLOYD LLC  
P.O. Box 1135  
Chicago, IL 60690-1135  
(312) 807-4284

CC:

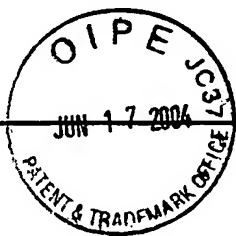
**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**112300-1411**

In Re Application Of: **Anthony J. Baerlocher**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/649,091	August 7, 2003	Unknown	29159	3711	9259

Invention: **GAMING DEVICE HAVING A MULTIPLE SELECTION INDICATOR GAME**



COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*Signature*

Adam H. Masia, Reg. No. 35,602  
BELL, BOYD & LLOYD LLC  
P.O. Box 1135  
Chicago, IL 60690-1135  
(312) 807-4284

Dated: **June 14, 2004**

**Certificate of Mailing by First Class Mail**

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22313-1450.

*Signature of Person Mailing Correspondence*

**Renee**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Anthony J. Baerlocher  
Appl. No.: 10/649,091  
Conf. No.: 9259  
Filed: August 27, 2003  
Title: GAMING DEVICE HAVING A MULTIPLE SELECTABLE INDICATOR  
GAME  
Art Unit: 3711  
Examiner: Unknown  
Docket No.: 0112300-1411

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
2004/002372	January 1, 2004	Rodgers et al.
6,162,121	December 19, 2000	Morro et al.

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
DE 19936196	January 18, 2001	Germany
GB 2322217	August 19, 1998	United Kingdom
DE 19600787	May 22, 1997	Germany
DE 19613455	August 21, 1997	Germany
DE 8710757	November 19, 1987	Germany

DE 19936196, DE 19600787, DE 19613455, and DE 8710757 are submitted without a translation. These references are submitted herewith because they were cited in the Search Report conducted for the corresponding PCT Application. If the Examiner needs translations, applicants respectfully request the Examiner contact the undersigned.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Adam H. Masia  
Reg. No. 35,602  
P.O. Box 1135  
Chicago, IL 60690-1135  
Phone: (312) 807-4284

Dated: June 14, 2004



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 0112300-1411	Application No. 10/649,091
	Applicant Anthony J. Baerlocher	
	Filing Date August 27, 2003	Group 3711

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		2004/002372	January 1, 2004	Rodgers et al.			
		6,162,121	December 19, 2000	Morro et al.			

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							Yes	No
		DE 19936196	January 18, 2001	Germany				
		GB 2322217	August 19, 1998	United Kingdom				
		DE 19600787	May 22, 1997	Germany				
		DE 19613455	August 21, 1997	Germany				
		DE 8710757	November 19, 1987	Germany				

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	